

17231 U.S. PTO
022004

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Case No. 10022/444 (01509-01/US)

PATENT APPLICATION TRANSMITTAL LETTER

To the Commissioner for Patents:

Transmitted herewith for filing is the patent application of: Gail Ellen McGiffin, Jason Andrew Birdsell, Jeffery Richard Rauch, Devdas Nandan, and Patrick J. Corless for : ACCOUNT LEVEL PARTICIPATION FOR UNDERWRITING COMPONENTS. Enclosed are:

- ☒ 20 sheet(s) of drawings, 73 pages of application (including title page), and the following Appendices : ____.
- ☐ Declaration.
- ☐ Power of Attorney.
- ☒ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i)..
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Assignment transmittal letter and Assignment of the invention to : ____.
- ☒ Return Post Card with sufficient postage.

17548 U.S. PTO
10/783841
022004

Claims as Filed	Col. 1	Col. 2
For	No. Filed	No. Extra
Basic Fee		
Total Claims	20-20	0
Indep. Claims	4-3	1
Multiple Dependent Claims Present		

*If the difference in col. 1 is less than zero, enter "0" in col. 2.

Small Entity	
Rate	Fee
	\$ 385
x\$9=	\$
x\$43=	\$
+\$145=	\$
Total	\$

Other Than Small Entity	
Rate	Fee
	\$ 770
1x\$18=	\$
x\$86=	\$86
+\$290=	\$
Total	\$856

- ☐ Please charge my Deposit Account No. 23-1925 in the amount of \$: _____. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$: 856.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR § 1.16.
- ☒ Any patent application processing fees under 37 CFR §1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
- ☐ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

February 20, 2004
Date

Susan D. Reinecke
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Registration No. 40,198
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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	GAIL ELLEN MCGIFFIN, ET AL.
	Title	ACCOUNT LEVEL PARTICIPATION FOR UNDERWRITING COMPONENTS
	Atty Docket Number	10022-444 (01509-01/US)

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 20, 2004

Date


Signature

Susan D. Reinecke (Reg. No. 40,198)

Typed or Printed Name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**